Mortgage Compliance for Servicing
Two-and-a-half Day Classroom Course

Learn the best practices your company needs to implement to ensure compliance with ever-increasing servicing requirements and regulations, on both the state and federal level. This interactive curriculum includes group discussion and case study reviews where attendees can review real-world scenarios to determine if they meet regulatory requirements.

Benefits

• Learn directly from industry-recognized educators and trainers
• Network and gain insight from peers
• Arm yourself with the latest know-how
• Breed best practices across your organization

• Avoid prohibited practices through consultation with origination staff
• Describe key definitions as they relate to federal and state regulations
• Complete the steps for developing, implementing and revising mortgage compliance policies and procedures

Course Overview and Prerequisites

This intermediate-level course is for those who have a strong understanding, and can identify the tasks, that are required to service a mortgage loan. Participants should also be able to describe loan file documentation and communication requirements set by investors or regulators. Attendees should be familiar with the various federal agencies that oversee mortgage servicing.

Learning Goals and Objectives

Upon completion of the course, students will be able to:

• Identify federal regulations and red flags for violations in practice
• Explain the applicability of various regulations and how they impact the way you do business

Recommended Attendees

The course is appropriate for those who must develop, implement and enforce federal compliance regulations within their servicing operations, including:

• Compliance managers and officers
• Quality Control managers and analysts
• Operations managers
• Attorneys
• Regulators
• Internal auditors
• Consultants

Cost

$1,500 per person
**Sample Curriculum (Subject to change without notice)**

### Day 1
- Overview
  - Anatomy of regulations
  - Prudential regulators
- E-Sign/Regulation E
- Equal Credit Opportunity Act (ECOA)
- Fair and Accurate Credit Transactions Act (Fact Act)
- Fair Credit Reporting Act (FCRA)
- Gramm-Leach-Bliley Act (GLBA)
- Homeowners Protection Act (HPA)

### Day 2
- National Flood Insurance Act
  - Biggert-Waters Flood Insurance Reform Act
- Real Estate Settlement Procedures Act (RESPA)
- Servicemembers Civil Relief Act (SCRA)
- Truth in Lending Act (TILA)
- USA PATRIOT Act
- Select state/local servicing issues

### Day 3 (Half Day)
- Telephone Consumer Protection Act (TCPA)
- Policies and procedures
  - Writing, implementing and enforcing

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View upcoming classes and enroll at EllieMae.com.

To learn more, contact 800.848.4904 or sales@allregs.com