Mortgage Compliance for Origination
Three-Day Classroom Course

Learn to create, implement, and enforce policies and procedures to ensure compliance and avoid violations. Laws change and companies must be prepared to respond at a rapid pace. To stay compliant, you must understand how regulatory requirements impact the way business is conducted and follow industry best practices.

Benefits

• Learn directly from industry-recognized educators and trainers
• Network and gain insight from peers
• Arm yourself with the latest know-how
• Breed best practices across your organization

• Describe key definitions as they relate to federal and state regulations
• Complete the steps for developing, implementing and revising mortgage compliance policies and procedures

Course Overview and Prerequisites

This course was developed for mortgage professionals in a leadership or decision making role that have a good understanding of mortgage compliance regulations and their applicability to specific functions during the life of the loan, from application through closing. Attendees should be familiar with the various federal agencies that oversee mortgage lending.

Recommended Attendees

• Compliance managers and officers
• Quality Control managers and analysts
• Operations managers
• Attorneys
• Regulators
• Internal auditors
• Consultants

Learning Goals and Objectives

Upon completion of the course, students will be able to:

• Identify federal regulations and red flags for violations in practice
• Explain the applicability of various regulations and how they impact the way you do business
• Avoid prohibited practices through consultation with origination staff

Cost

$1,800 per person
Sample Curriculum (Subject to change without notice)

Day 1
- Under TILA
- RESPA
- Telephone Consumer Protection Act (TCPA)

Day 2
- FCRA
- HMDA
- ECOA
- HPA
- GLBA

Day 3
- BSA, AML, USA PATRIOT Act, OFAC
- Fair Lending, UDAAP and Social Media
- State Regulations
- National Flood Insurance
- Policies and Procedures

View upcoming classes and enroll at EllieMae.com.

To learn more, contact 800.848.4904 or sales@allregs.com